

# DECLARATION AND POWER OF ATTORNEY FOR ORIGINAL U.S. PATENT APPLICATION

Attorney's Docket No. LBNLP008/CIB-1406

As a below-named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe that I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:  
**SOLID STATE ELECTROCHEMICAL DEVICE ELCTRODE ADDITIVES** the specification of which,

- (check one)
1. ☐ is attached hereto.
  2. ☒ was filed on July 27, 2000 as  
U.S. Application No. 09/626,022  
and was amended on \_\_\_\_\_.
  3. ☐ was filed on \_\_\_\_\_ as  
International PCT Application No. \_\_\_\_\_  
and was amended on \_\_\_\_\_.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, CFR § 1.56.

## Prior Foreign Application(s)

I hereby claim foreign priority benefits under Title 35, United States code, § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed:

			Priority Benefits Claimed?
			Yes ___ No ___
_____ (Application No.)	_____ (Country)	_____ (Filing Date)	
_____ (Application No.)	_____ (Country)	_____ (Filing Date)	Yes ___ No ___

## Provisional Application(s)

I hereby claim the benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below:

<u>60/146,767</u> (Application No.)	<u>July 31, 1999</u> (Filing Date)
_____ (Application No.)	_____ (Filing Date)

**Prior U.S. Application(s)**

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s), or § 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

(Application No.)	(Filing Date)	(Status - patented, pending, abandoned)
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(Application No.)	(Filing Date)	(Status - patented, pending, abandoned)
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**Power of Attorney**

And I hereby appoint the law firm of **Beyer Weaver & Thomas, LLP** and all practitioners who are associated with the Customer Number 022434 as my principal attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

**Direct Correspondence To:**

**Customer Number: 022434**  
**BEYER WEAVER & THOMAS, LLP**  
P.O. Box 778  
Berkeley, CA 94704-0778



**Direct Telephone Calls To:**

**Attorney Name at telephone number (650) 961-8300**

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

**Typewritten Full Name of**

**Sole or First Inventor:** Steven J. Visco **Citizenship:** United States of America  
**Inventor's signature:** [Signature] **Date of Signature:** 9/18/00  
**Residence:** (City) Berkeley **(State/Country)** California  
**Post Office Address:** 546 Arlington Avenue, Berkeley, California 94720

**Second Inventor:** Craig P. Jacobson **Citizenship:** United States of America  
**Inventor's signature:** [Signature] **Date of Signature:** 7/18/00  
**Residence:** (City) El Cerrito **(State/Country)** California  
**Post Office Address:** 3434 Santa Clara, El Cerrito, California 94530

Third Inventor: Lutgard C. DeJonghe

Citizenship: Belguim

Inventor's signature: X 

Date of Signature: X 

Residence: (City) Lafayette

(State/Country) California

Post Office Address: 910 Acalanes Road, Lafayette, California 94549



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
ASSISTANT SECRETARY AND COMMISSIONER  
OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APRIL 03, 2001

PTAS



\*101627826A\*

BEYER WEAVER & THOMAS, LLP  
JAMES E. AUSTIN  
P.O.  
BERKELEY, CA 94704-0778

BOX 778

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

CORRECTED  
NOTICE

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 11/06/2000

REEL/FRAME: 011224/0489

NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

VISCO, STEVEN J.

DOC DATE: 09/18/2000

ASSIGNOR:

JACOBSON, CRAIG P.

DOC DATE: 09/19/2000

ASSIGNOR:

DEJONGHE, LUTGARD C.

DOC DATE: 09/15/2000

ASSIGNEE:

REGENTS OF THE UNIVERSITY OF  
CALIFORNIA, THE

1111 FRANKLIN STREET  
12TH FLOOR

OAKLAND, CALIFORNIA 94607-5200

SERIAL NUMBER: 09626022

FILING DATE: 07/27/2000

PATENT NUMBER:

ISSUE DATE:

1226  
BERKELEY  
JBA

011224/0489 PAGE 2

SEDLEY PYNE, PARALEGAL  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS



ASSIGNMENT

04-02-2001



101627826

To: Honorable Commissioner of Patents and Trademarks:

11-6-00

Please record the attached original document(s) or copy thereof.

1. Name of conveying party(ies)
  - a) Steven J. Visco
  - b) Craig P. Jacobson
  - c) Lutgard C. DeJonghe
2. Name and address of receiving party(ies):
  - a) Name: The Regents of The University of California  
Address: 1111 Franklin Street, 12<sup>th</sup> Floor, Oakland, California 94607-5200

3. Nature of conveyance

<input checked="" type="checkbox"/>	Assignment	<input type="checkbox"/>	Merger
<input type="checkbox"/>	Security Agreement	<input type="checkbox"/>	Change of Name
<input type="checkbox"/>	Other _____	<input type="checkbox"/>	License Agreement

Execution Date: September 19, 2000

4. Application Number(s) or Patent Number(s):

The title of the (new) application is: SOLID STATE ELECTROCHEMICAL DEVICE  
ELECTRODE ADDITIVES

5. Please send all correspondence concerning this (these) documents to:

**Customer Number: 022434**  
BEYER WEAVER & THOMAS, LLP  
P.O. Box 778  
Berkeley, CA 94704-0778  
Phone (650) 961-8300  
Fax (650) 961-8301

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$40.00

- ☒ Enclosed  
☒ Any additional fees are authorized to be charged to Deposit Account No. 500388  
(Order No. LBNLP008)

8. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Date: November 2, 2000

  
James E. Austin  
Registration No. 39,489

11/08/2000 HVUONG1 00000018 09626022

04 FC:581

40.00 DP

## ASSIGNMENT

LBNL Case No. IB-1406

For good and valuable consideration, the receipt of which is hereby acknowledged,  
ASSIGNOR(S)

1. Steven J. Visco
2. Craig P. Jacobson
3. Lutgard C. DeJonghe

hereby sells, assign(s) and transfer(s) to ASSIGNEE, The Regents of The University of California, a California Corporation, having its statewide administrative offices located at 1111 Franklin Street, 12<sup>TH</sup> Floor, Oakland, CA 94607-5200 and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

### **SOLID STATE ELECTROCHEMICAL DEVICE ELECTRODE ADDITIVES**

and which is found in

(a) ☐ U.S. \_\_\_\_\_ patent application executed on \_\_\_\_\_ entitled as above and listing the above named  
persons as inventors

(b) ☒ U.S. application serial no **09/626,022** filed on **July 27, 2000**.

(c) ☐ U.S. Patent No.: \_\_\_\_\_, issued

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters

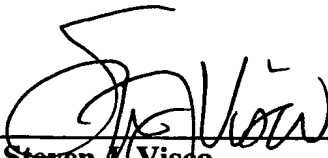
Patent and said equivalents thereof which may necessary or desirable to carry out the purposes thereof. Any attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of The University of California.

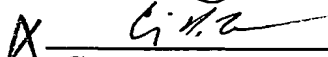
Executed this:

Signature of Inventor(s)

18<sup>th</sup> day of September 192000

  
\_\_\_\_\_  
Steven J. Visco

19<sup>th</sup> day of September 192000

X   
\_\_\_\_\_  
Craig P. Jacobson

15<sup>th</sup> day of September 192000

  
\_\_\_\_\_  
Lutgard C. DeJonghe

\_\_\_\_ day of \_\_\_\_\_, 19\_\_\_\_

\_\_\_\_\_



**ASSIGNMENT RECORDATION COVER SHEET  
-PATENTS ONLY-**

To: Honorable Commissioner of Patents and Trademarks:

Please record the attached original document(s) or copy thereof.

1. Name of conveying party(ies)  
a) Steven J. Visco  
b) Craig P. Jacobson  
c) Lutgard C. DeJonghe
2. Name and address of receiving party(ies):  
a) Name: The Regents of The University of California  
Address: 1111 Franklin Street, 12<sup>th</sup> Floor, Oakland, California 94607-5200

3. Nature of conveyance
- |                                     |                    |                          |                   |
|-------------------------------------|--------------------|--------------------------|-------------------|
| <input checked="" type="checkbox"/> | Assignment         | <input type="checkbox"/> | Merger            |
| <input type="checkbox"/>            | Security Agreement | <input type="checkbox"/> | Change of Name    |
| <input type="checkbox"/>            | Other _____        | <input type="checkbox"/> | License Agreement |

Execution Date: Steven J. Visco: September 18, 2000; Craig P. Jacobson: September 19, 2000; and  
Lutgard C. DeJonghe: September 15, 2000

4. Application Number(s) or Patent Number(s): 1

The title of the (new) application is: SOLID STATE ELECTROCHEMICAL DEVICE  
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Fax (650) 961-8301

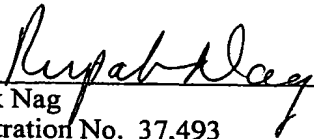
6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$0

- ☐ Enclosed  
☒ Any additional fees are authorized to be charged to Deposit Account No. 500388  
(Order No. LBNLP008)

8. To the best of my knowledge and belief, the foregoing information is true and correct and any  
attached copy is a true copy of the original document.

Date: February 26, 2001

  
\_\_\_\_\_  
Rupak Nag  
Registration No. 37,493